



84-23-05
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#1634

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

33 + 29
REFS

Application Number

10/081,646

Filing Date

February 2, 2002

First Named Inventor

Robert N. RICE and Bruce T. HARRISON

Group Art Unit

1634

Examiner Name

D. R. Gunter

Attorney Docket No.

546322000100

ENCLOSURES (check all that apply)



Fee Transmittal Form - 1 pg IN DUPL

☐ Fee Attached



Amendment / Reply-RESPONSE TO OFFICE ACTION mailed 10/21/2002, PAPER 6 - 19 pgs

☐ After Final



Extension of Time Request (3 mos.) - 1 pg



Express Abandonment Request



Information Disclosure Statement - 3 pgs
PTO-1449 - 3 pgs (29 references)
25 - attached references
4 - cited references



Assignment Papers (for an Application)



Drawing(s)



Licensing-related Papers



Petition



Power of Attorney - 3 pgs



Statement Under 37 CFR 3.73(b) - 1 pg



After Allowance Communication to Group



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Other Enclosure(s) (please identify below):

RETURN RECEIPT POSTCARD

Remarks



Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP

or

425 Market Street, San Francisco, CA 94105-2842

Individual Name

Michael R. Ward (Reg. No. 38,651)

Signature

Michael R Ward

Date

April 21, 2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

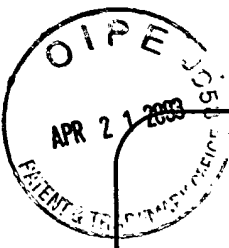
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

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FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/081,646
Filing Date	February 22, 2002
First Named Inventor	Robert N. RICE and Bruce T. HARRISON
Examiner Name	D. R. Gunter
Group Art Unit	1634

TOTAL AMOUNT OF PAYMENT

(\$)**834.00**

Attorney Docket No. **546322000100**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **03-1952**
- Deposit Account Name **Morrison & Foerster LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	740	201	375	Utility filing fee	
1002	330	206	165	Design filing fee	
1003	510	207	255	Plant filing fee	
1004	740	208	370	Reissue filing fee	
1005	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)**-0-**

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims	27	- 20** =	7	x	9	=	\$ 63.00
Independent Claims	6	- 3** =	3	x	42	=	\$ 126.00
Multiple Dependent						=	\$ -0-

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	203	9	Claims in excess of 20
1201	84	202	42	Independent claims in excess of 3
1203	280	204	140	Multiple dependent claims, if not paid
1204	84	209	42	**Reissue independent claims over original patent
1205	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**189.00**

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	205	65	Surcharge - late filing fee or oath	
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	139	130	Non-English specification	
1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1251	110	215	55	Extension for reply within first month	
1252	400	216	200	Extension for reply within second month	
1253	930	217	465	Extension for reply within third month	\$465
1254	1,440	218	720	Extension for reply within fourth month	
1255	1,960	228	980	Extension for reply within fifth month	
1401	320	219	160	Notice of Appeal	
1402	320	220	160	Filing a brief in support of an appeal	
1403	280	221	140	Request for oral hearing	
1451	1,510	138	1,510	Petition to institute a public use proceeding	
1452	110	240	55	Petition to revive - unavoidable	
1453	1,280	241	640	Petition to revive - unintentional	
1501	1,280	242	640	Utility issue fee (or reissue)	
1502	460	243	230	Design issue fee	
1503	620	244	310	Plant issue fee	
1460	130	122	130	Petitions of the Commissioner	
1807	50	123	50	Petitions related to provisional applications	
1806	180	126	180	Submission of Information Disclosure Stmt	\$180
8021	40	581	40	Recording each patent assignment per properties (times number of properties)	
1809	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	740	279	370	Request for Continued Examination (RCE)	
1802	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**645.00**

SUBMITTED BY

Name (Print/Type)	MICHAEL R. WARD	Registration No. (Attorney/Agent)	(38,651)	Telephone	(415) 268-6237
Signature	<i>Michael R Ward</i>	Date	April 21, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

STATEMENT UNDER 37 CFR 3.73(b)

APR 21 2003

Applicant/Patent Owner: **Robert N. RICE and Bruce T. HARRISON**Application No./Patent No.: **10/081,646**Filed/Issue Date: **February 22, 2002**Entitled: **A METHOD AND KIT****BENITEC AUSTRALIA LTD., an Australian corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013520, Frame 0876, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

April 21, 2003
Date

Michael R. Ward
MICHAEL R. WARD (Reg. No. 38,651)
Michael R. Ward
Signature

Title: Attorney for Assignee**Docket No: 546322000100**